Self appraisal report for Year (2021-22)

Ministry Name: Ministry of Education

Department Name: Department of Higher Education

Public Authority Name: I I M Udaipur

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks
1	Organisation and Function			,	
1.1	Particulars of its organisation, functions and duties [Section 4(1)(2)(1)]	Fully Met	1.28	1.28	https://www.iimu.ac.in/media/
1.1.1	Name and address of the Organization	rully wet	1.20		contact-us
		Fully Met	1.28	1.28	https://www.iimu.ac.in/about/
1.1.2	Head of the organization	I dily Mct	1.20		people-at-iim
		Fully Met	1.28	1.28	https://www.iimu.ac.in/about/
1.1.3	Vision, Mission and Key objectives	rully Wet			our-mission-core-values-al
		Fully Met	1.28	1.28	https://www.iimu.ac.in/rti
1.1.4	Function and duties	Fully Met	1.28	1.28	https://www.iimu.ac.in/rti
1.1.5	a tar Chart	and the second s	1.28	1.28	https://www.iimu.ac.in/about/
1.1.6	Any other details-the genesis, inception, formation of the department and the HoDs from time to time as well as the committees/ Commissions	rully wiet	1.20		our-history
	constituted from time to time have been dealt				
1.2	Power and duties of its officers and employees[Section 4(1) (b)(ii)]	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.2.1	Powers and duties of officers (administrative, financial and judicial)	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.2.2	Power and duties of other employees	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.2.3	Rules/ orders under which powers and duty are derived and	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.2.4	Exercised	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.2.5	Work allocation				
1.3	Procedure followed in decision making process [Section 4(1)(b)(iii)]	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.3.1	Process of decision making Identify key decision making points	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.3.2	Final decision making authority	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.3.3	Related provisions, acts, rules etc.			John-	

DR. ARVIND SHARMA (CPIO) MR. SIVAKUMAR MATHADA
(FAA & COA)

Sr. No	Details of disclosure	Category	Marks	Obtained	Remarks
1.3.4	Time limit for talling and the second			Mark	
1.3.5	Time limit for taking a decisions, if any	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.4	Channel of supervision and accountability	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.4.1	Norms for discharge of functions[Section 4(1)(b)(iv)]				
1.4.1	Nature of functions/ services offered	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.4.3	Norms/ standards for functions/ service delivery	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
	Process by which these services can be accessed	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.4.4	Time-limit for achieving the targets	Fully Met	1.54	1.54	https://www.iimu.ac.in/rti
1.4.5	Process of redress of grievances	Fully Met	1.54	1 51	https://www.iimu.ac.in/rti
1.5	Rules, regulations, instructions manual and records for disch	arging functions[S	ection 4(1)(b)(v)1	,,
1.5.1	Title and nature of the record/ manual /instruction.	Fully Met	1.92	1.92	https://www.iimu.ac.in/rti
1.5.2	List of Rules, regulations, instructions manuals and records.	Fully Met	1.92	1.92	https://www.iimu.ac.in/rti
1.5.3	Acts/ Rules manuals etc.	Fully Met	1.92	1.92	https://www.iimu.ac.in/rti
1.5.4	Transfer policy and transfer orders	Fully Met	1 02	1.92	https://www.iimu.ac.in/rti
1.6	Categories of documents held by the authority under its contr	ol[Section 4(1)(b) (vi)1	11.02	https://www.iimu.ac.iii/[[
1.6.1	Categories of documents	Fully Met	3.85	3.85	https://www.iimu.ac.in/rti
1.6.2	Custodian of documents/categories	Fully Met	3 95	2 05	https://www.ii
1.7	Boards, Councils, Committees and other Bodies constituted a	s part of the Public	Authority	/ [Section	//1/b/wiii)
1.7.1	Name of Boards, Council, Committee etc.	Fully Met	0.96	0.96	https://www.iimu.ac.in/about
1.7.2	Composition	Fully Met	0.96	0.96	people-at-iim https://www.iimu.ac.in/about people-at-iim
1.7.3	Dates from which constituted	Fully Met	0.96	0.96	https://www.iimu.ac.in/about/ people-at-iim
1.7.4	Term/ Tenure	Fully Met	0.96	0.96	https://www.iimu.ac.in/about/ people-at-iim
1.7.5	Powers and functions	Fully Met	0.96	0.96	https://www.iimu.ac.in/about/ people-at-iim
1.7.6	Whether their meetings are open to the public?	Not Met	0.96	0	empty
1.7.7	Whether the minutes of the meetings are open to the public?	Not Met	0.96	1_	empty
1.7.8	Place where the minutes if open to the public are available?	Not Met	0.96	_	empty
1.8	Directory of officers and employees[Section 4(1) (b) (ix)]	,	0.00		ompty
1.8.1	Name and designation	Fully Met	3.85	3.85	https://www.iimu.ac.in/media/

MR. SIYA KUMAR MATHADA (FAA& COA)

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks
1.8.2	Tolophone for and a 210				contact-us
	Telephone , fax and email ID	Fully Met	3.85	3.85	https://www.iimu.ac.in/media
1.9	Monthly Remuneration received by officers & employees including s	system of con	nensatio	nisection	4(1) (b) (c)1
1.9.1		Fully Met	3.85	3.85	
1.9.2	System of compensation as provided in its regulations	Fully Mot	2.05	2.05	https://www.iimu.ac.in/rti
1.10	Name, designation and other particulars of public information office	rs[Section 4/1) (h) (vvi	11	https://www.iimu.ac.in/rti
1.10.1	Public Information officer (APIO) & Appellate Authority	Fully Met	3.85	3.85	https://www.iimu.ac.in/rti
1.10.2	Address, telephone numbers and email ID of each designated official	Fully Met	3.85	3.85	L
1.11	No. Of employees against whom Disciplinary action has been propo-	sed/ taken/Se	otion 4/2	0.00	https://www.iimu.ac.in/rti
1.11.1	for Minor penalty or major penalty proceedings	Not Applicab		0	empty
1.11.2	(ii) Finalised for Minor penalty or major penalty proceedings	Not Applicab	اما	0	amati i
1.12	Programmes to advance understanding of RTI(Section 26)	, tot / tppiloab	100	U	empty
1.12.1	Educational programmes	Fully Met	1.92	1.92	https://www.iii-/.i
1.12.2	Efforts to encourage public authority to participate in these programmes	Fully Met	1.92		https://www.iimu.ac.in/rti
1.12.3	Training of CPIO/APIO	Fully Met	1.92		https://www.iimu.ac.in/rti
1.12.4	Update & publish guidelines on RTI by the Public Authorities concerned	Fully Met	1.92		https://www.iimu.ac.in/rti
1.13	Transfer policy and transfer orders[F No. 1/6/2011- IR dt 15.4.2013]	i dily ivict	1.32	1.32	https://www.iimu.ac.in/rti
1.13.1	Transfer Policy And Transfer Orders[F No. 1/6/2011- IR Dt. 15.4.2013]	Fully Met	7.69	7.69	https://www.ii
Total		i dily ivict	92	89	https://www.iimu.ac.in/rti
2	Budget and Programme				
2.1	Budget allocated to each agency including all plans, proposed exper 4(1)(b)(xi)]	nditure and re	ports on	disbursen	nents made etc.[Section
2.1.1	Total Budget for the public authority	Eully Mat	40	10.00	4
2.1.2	Budget for each agency and plan & programmes	Fully Met	10		https://www.iimu.ac.in/rti
2.1.3	Proposed expenditures	Fully Met	10		https://www.iimu.ac.in/rti
2.1.4	Revised budget for each agency, if any	Fully Met	10		https://www.iimu.ac.in/rti
2.1.5	Report on disbursements made and place where the related reports are available	Fully Met Fully Met	10		https://www.iimu.ac.in/rti https://www.iimu.ac.in/rti
.2	Foreign and domestic tours(F.No. 1/8/2012- IR dt. 11.9.2012)				

MR. SIVA KUMAR MATHADA (FAA & COA)

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	
0.0.4	Budget	Fully Met	16.67	16.67	https://www.iimu.ac.in/rti
2.2.1	Foreign and domestic Tours by ministries and officials of the rank of Joint Secretary to the Government and above, as well as the heads of the Department (a) Places visited, (b) The period of visit, (c) The number of members in the official delegation, (d) Expenditure on the visit		16.67	16.67	https://www.iimu.ac.in/rti
2.2.3	Information related to procurements- (a) Notice/tender enquires, and corrigenda if any thereon, (b) Details of the bids awarded comprising the names of the suppliers of goods/ services being procured, (c) The works contracts concluded – in any such combination of the above-and, (d) The rate/ rates and the total amount at which such procurement or works contract is to be executed.	Fully Met	16.67	16.67	https://www.iimu.ac.in/rti
2.3	Manner of execution of subsidy programme [Section 4(i)(b)(xii)]	E 11 14-4	COF	6.25	https://www.iimu.ac.in/progra
2.3.1	Name of the programme of activity	Fully Met	6.25	0.25	ms
2.3.2	Objective of the programme	Fully Met	6.25	6.25	https://www.iimu.ac.in/progra ms
2.3.3	Procedure to avail benefits	Fully Met	6.25	6.25	https://www.iimu.ac.in/progra ms
2.3.4	Duration of the programme/ scheme	Fully Met	6.25	6.25	https://www.iimu.ac.in/programs
2.3.5	Physical and financial targets of the programme	Fully Met	6.25	6.25	https://www.iimu.ac.in/upload _data/files/financial_aid_202 1-22.pdf
2.3.6	Nature/ scale of subsidy /amount allotted	Fully Met	6.25	6.25	https://www.iimu.ac.in/upload _data/files/financial_aid_202 1-22.pdf
2.3.7	Eligibility criteria for grant of subsidy	Fully Met	6.25	6.25	https://www.iimu.ac.in/programs/mba-program/financial-assistance
2.3.8	Details of beneficiaries of subsidy programme (number, profile etc)	Fully Met	6.25	6.25	https://www.iimu.ac.in/upload _data/files/financial_aid_202 1-22.pdf
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MR. SIVAKUMAR MATHADA CFAA & COA)

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks
2.4	Discretionary and non-discretionary grants [F. No. 1/6/2011-IR dt. 15.	04 20131	\	IVICIA	
2.4.1	Discretionary and non-discretionary grants/ allocations to State Govt./ NGOs/other institutions	Not Applicable	0	0	empty
2.4.2	Annual accounts of all legal entities who are provided grants by public authorities	Not Applicable	0	0	empty
2.5	Particulars of recipients of concessions, permits of authorizations gr	anted by the r	ublic a	uthority[S	action 4(1) (b) (viii)]
2.5.1	Concessions, permits or authorizations granted by public authority	Not Applicable	n	0	empty (XIII)
2.5.2	For each concessions, permit or authorization granted - (a) Eligibility criteria, (b) Procedure for getting the concession/ grant and/ or permits of authorizations, (c) Name and address of the recipients given concessions/ permits or authorizations, (d) Date of award of concessions/ permits of authorizations	Not Applicable		0	empty
2.6	CAG & PAC paras [F No. 1/6/2011- IR dt. 15.4.2013]				
2.6.1	CAG and PAC paras and the action taken reports (ATRs) after these have been laid on the table of both houses of the parliament.	Not Applicable	0	0	empty
Total			150	150	
3	Publicity Band Public interface		100	130	
3.1	Particulars for any arrangement for consultation with or representation formulation of policy or implementation there of [Section 4(1)(b)(vii)]	on by the mem	bers of	the public	in relation to the
3.1.1	Relevant Acts, Rules, Forms and other documents which are normally accessed by citizens		12.5	12.50	https://www.iimu.ac.in/rti
3.1.2	Arrangements for consultation with or representation by - (a) Members of the public in policy formulation/ policy implementation, (b) Day & time allotted for visitors,(c) Contact details of Information & Facilitation Counter (IFC) to provide publications frequently sought by RTI applicants	Not Applicable	0	0	empty
3.1.3	Public- private partnerships (PPP)- Details of Special Purpose Vehicle (SPV), if any	Not Applicable	0	0	empty
3.1.4	Public- private partnerships (PPP)- Detailed project reports (DPRs)	Not Applicable	0	0	empty
3.1.5	Public- private partnerships (PPP)- Concession agreements.	Not Applicable		0	empty
	D. I. P	Not Applicable	n	0	empty
3.1.6	private parameterispo (1111) Operation and maintenance manuals				CHIDLY
3.1.6 3.1.7	Public- private partnerships (PPP) - Other documents generated as part of the implementation of the PPP	Not Applicable	0		empty

MR. SIVAKUMAR MATHADA

(FAAR COA)

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks
3.1.8	Public- private partnerships (PPP) - Information relating to fees, tolls, or the other kinds of revenues that may be collected under authorisation from the government	Not Applicabl	e0	0	empty
3.1.9	Public- private partnerships (PPP) -Information relating to outputs and outcomes	Not Applicabl	e0	0	empty
3.1.10	Public- private partnerships (PPP) - The process of the selection of the private sector party (concessionaire etc.)	Not Applicabl	e0	0	empty
3.1.11	Public- private partnerships (PPP) - All payment made under the PPP project	Not Applicabl	e0	0	empty
3.2	Are the details of policies / decisions, which affect public, informed to	them[Section	on 4(1) (c)1	
3.2.1	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive - Policy decisions/ legislations taken in the previous one year	Fully Met	16.67	16.67	https://www.iimu.ac.in/rti
3.2.2	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive - Outline the Public consultation process	Fully Met	16.67	16.67	https://www.iimu.ac.in/rti
3.2.3	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive- Outline the arrangement for consultation before formulation of policy	Fully Met	16.67	16.67	https://www.iimu.ac.in/rti
3.3	Dissemination of information widely and in such form and manner wh	nich is easily	accessi	ble to the	public [Section 4(3)]
3.3.1	Use of the most effective means of communication - Internet (website)	Fully Met	50	50.00	https://www.iimu.ac.in/
3.4	Form of accessibility of information manual/ handbook[Section 4(1)(b		, ,	50.00	, repoint with mindide.iii
3.4.1	Information manual/handbook available in Electronic format	Not Applicabl	e0	0	empty
3.4.2	Information manual/handbook available in Printed format	Not Applicabl	and the second	0	empty
3.5	Whether information manual/ handbook available free of cost or not [
3.5.1	List of materials available Free of cost	Not Applicabl		0	empty
3.5.2	List of materials available At a reasonable cost of the medium	Not Applicabl		0	empty
Total			113	113	
4	E-Governance				
4.1	Language in which Information Manual/Handbook Available [F No. 1/6	6/2011-IR dt.	15.4.201	3]	
4.1.1	English .	Not Applicabl	the state of the s		

MR. STVAKUMAR MATHADA
(FARK COA)

	Details of disclosure	Category	Marks	Obtained Mark	Remarks
4.1.2	Vernacular/ Local Language	Not Applica	ble0	0	empty
4.2	When was the information Manual/Handbook last updated?[F No.	1/6/2011-IR dt	15.4.2013		pp.ty
4.2.1	Last date of Annual updation	Not Applica		0	empty
4.3	Information available in electronic form[Section 4(1)(b)(xiv)]				
4.3.1	Details of information available in electronic form	Fully Met	9.52	9.52	https://www.iimu.ac.in/
4.3.2	Name/ title of the document/record/ other information	Fully Met	9.52	9.52	https://www.iimu.ac.in/
4.3.3	Location where available	Fully Met	9.52	9.52	https://www.iimu.ac.in/
4.4	Particulars of facilities available to citizen for obtaining informatio	n[Section 4(1)	b)(xv)1		,po
4.4.1	Name & location of the faculty	Fully Met	7.14	7.14	https://www.iimu.ac.in/
4.4.2	Details of information made available	Fully Met	7.14	7.14	https://www.iimu.ac.in/
4.4.3	Working hours of the facility	Fully Met	7.14	7.14	https://www.iimu.ac.in/rti
4.4.4	Contact person & contact details (Phone, fax email)	Fully Met	7.14	7.14	https://www.iimu.ac.in/media/ contact-us
4.5	Such other information as may be prescribed under Section 4(i) (b)(xvii)			contact do
4.5.1	Grievance redressal mechanism	Fully Met	3.57	3.57	https://www.iimu.ac.in/nodal-officer
4.5.2	Details of applications received under RTI and information provided	Fully Met	3.57	3.57	https://www.iimu.ac.in/rti
4.5.3	List of completed schemes/ projects/ Programmes	Fully Met	3.57	3.57	https://www.iimu.ac.in/progra
4.5.4	List of schemes/ projects/ programme underway	Not Applica	ble0	0	empty
4.5.5	Details of all contracts entered into including name of the contractor, amount of contract and period of completion of contract	Fully Met	3.57	3.57	https://www.iimu.ac.in/rti
4.5.6	Annual Report	Fully Met	3.57	3.57	https://www.iimu.ac.in/media/
4.5.7	Frequently Asked Question (FAQs)	Fully Met	3.57	3.57	https://www.iimu.ac.in/rti
4.5.8	Any other information such as - (a) Citizen's Charter, (b) Result Framework Document (RFD), (c) Six monthly reports on the , (d) Performance against the benchmarks set in the Citizen's Charter	Fully Met	3.57	3.57	https://www.iimu.ac.in/about/ social-responsibility
4.6	Receipt & Disposal of RTI applications & appeals [F.No 1/6/2011-IR	dt. 15.04.2013	1		
4.6.1	Details of applications received and disposed	Fully Met	14.29	14.29	https://www.iimu.ac.in/rti
4.6.2	Details of appeals received and orders issued	Fully Met	14.29	14.29	https://www.iimu.ac.in/rti
4.7	Replies to questions asked in the parliament[Section 4(1)(d)(2)]	P	1	. 4	J. S. H. H. H. H. G. G. H. H. G.

MR. SIVAKUMAR MATHADA

(FAA & COA)

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks
4.7.1	Details of questions asked and replies given	Fully Met	28.57	28.57	https://www.iimu.ac.in/rti
Total			139	139	
5	Information as may be prescribed				
5.1	Such other information as may be prescribed [F.No. 1/2/2016-IR dt. 17	7.8.2016, F N	No. 1/6/20	11-IR dt. 1	5.4.2013]
5.1.1	Name & details of - (a) Current CPIOs & FAAs, (b) Earlier CPIO & FAAs from 1.1.2015	Fully Met	20	20.00	https://www.iimu.ac.in/rti
5.1.2	Details of third party audit of voluntary disclosure -(a) Dates of audit carried out , (b) Report of the audit carried out	Fully Met	20	20.00	https://www.iimu.ac.in/rti
5.1.3	Appointment of Nodal Officers not below the rank of Joint Secretary/ Additional HoD - (a) Date of appointment, (b) Name & Designation of the officers	Fully Met	20	20.00	https://iimu.ac.in/nodal- officer
5.1.4	Consultancy committee of key stake holders for advice on suo-motu disclosure - (a) Dates from which constituted, (b) Name & Designation of the officers	Fully Met	20	20.00	https://www.iimu.ac.in/rti
5.1.5	Committee of PIOs/FAAs with rich experience in RTI to identify frequently sought information under RTI - (a) Dates from which constituted, (b) Name & Designation of the Officers		20	20.00	https://www.iimu.ac.in/rti
Total			100	100	
6	Information Disclosed on own Initiative				
6.1	Item / information disclosed so that public have minimum resort to us	se of RTI Ad	t to obtai	n informat	ion
6.1.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information	Fully Met	25	25.00	https://www.iimu.ac.in/rti
6.2	Guidelines for Indian Government Websites (GIGW) is followed (release Secretariat Manual of Office Procedures (CSMOP) by Department of A Personnel, Publ				
6.2.1	Whether STQC certification obtained and its validity	Not Met	12.5	0	empty
6.2.2	Does the website show the certificate on the Website?	Not Met	12.5	0	empty
Total			50	25	
Grand	Total		644	616	

मुख्य प्रशासनिक अधिकारी
Chief of Administration
भारतीय प्रबंधन संस्थान उदयपुर
भारतीय प्रबंधन संस्थान उदयपुर
किमिन किमिन